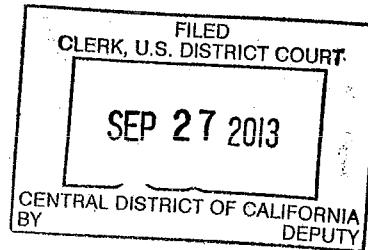


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*Attorneys for Plaintiff Receiver Robert P. Mosier*

**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

**ROBERT P. MOSIER, Receiver for  
PRIVATE EQUITY  
MANAGEMENT GROUP, LLC and  
PRIVATE EQUITY  
MANAGEMENT GROUP, INC..**

**Plaintiff.**

V.

ERWIN & JOHNSON, LLP;  
CHRISTOPHER RONALD  
ERWIN; RICHARD BURTON  
JOHNSON; and DOES 1-5,  
inclusive.

## Defendants.

Case No. 8:12-cv-02053-PSG-E

[DISCOVERY MATTER]

**PROTECTIVE ORDER PURSUANT TO  
STIPULATION**

*[Filed concurrently with Proposed  
Stipulated Protective Order]*

Date: [No hearing]  
Time: [No hearing]  
Ctrm: 20 - 3rd Floor  
Judge: Hon. Charles F. Eick

## **PROTECTIVE ORDER**

2 Consistent with the Stipulation between Plaintiff Receiver Robert P. Mosier  
3 (“Plaintiff” or the “Receiver”), the Court-appointed permanent receiver for Private  
4 Equity Management Group, LLC, Private Equity Management Group, Inc., and  
5 subsidiaries and affiliates thereof (collectively, “PEMGroup”) and Defendants  
6 Erwin & Johnson, LLP; Christopher Ronald Erwin; and Richard Burton Johnson  
7 (collectively “Defendants”) (collectively, the “Parties” and each individually, a  
8 “Party”), and in order to protect the confidentiality of confidential information  
9 obtained by the parties in connection with the above-captioned action, the Court  
10 hereby orders:

11       1. **Proceedings and Information Governed.** This Protective Order  
12 (“Protective Order”) shall govern any document, information or other thing  
13 produced or otherwise furnished between the Parties to this Stipulation, which  
14 contains confidential information. The information protected may include, but is  
15 not limited to, responses to third-party subpoenas for documents, responses to  
16 requests to produce documents or other things (including any documents produced  
17 in connection therewith), responses to interrogatories, responses to requests for  
18 admissions, deposition testimony and exhibits, and all copies, extracts, summaries,  
19 compilations, designations and portions thereof in this action, or related actions.

20        2. **Designation of Information for Protection Under This Protective**  
21 **Order.**

(b) Information may be designated as "**CONFIDENTIAL**" only if the producing party reasonably believes that the material contains (i) trade secrets or proprietary information, (ii) non-public financial data, (iii) medical information; family information; addresses or other contact or family information; employment information or financial information of any individual whose information is contained in any Party's material or (iv) other data not in the public domain.

7                             (c) If any Party disagrees with the producing party's designation of  
8 particular information as "**CONFIDENTIAL**" that Party may, after meeting and  
9 conferring with counsel for the other Party, challenge such designation by filing a  
10 motion with the Court which does not disclose the information designated as  
11 "confidential" in the public record.

12       3. **Disclosure of Confidential Information.** Information marked  
13      “**CONFIDENTIAL**” may be disclosed by the receiving Party to the following  
14      recipients only:

(e) Witnesses, including but not limited to, deponents.

2           4. **Non-Disclosure Agreement.** Each person referred to in paragraph 3  
3 (a)-(e), except clerical and stenographic personnel, to whom confidential  
4 information is to be given, shown, disclosed, made available or communicated in  
5 any way, shall execute a confidentiality agreement in the form of Exhibit "A."  
6 Counsel for the Party who intends to disclose confidential information to any  
7 person referred to in paragraphs 3(a)-(e) shall be responsible for obtaining an  
8 executed confidentiality agreement from each individual to whom the confidential  
9 information will be disclosed. The agreement shall be executed before any  
10 disclosure of confidential information occurs.

11       5.     **Use.** Confidential information shall be used by the Parties to whom it  
12 is disclosed solely for the purpose of the evaluation of the Receivership estate or the  
13 prosecution, defense, or settlement of this action, or any related actions, and for no  
14 other purpose. Confidential information shall not be used by such Parties for any  
15 business or other purpose. No Party who is furnished confidential information shall  
16 disclose it to any person not entitled under this Protective Order to receive it.

17       6. **Court Procedures.** Pleadings which contain or annex confidential  
18 information shall be filed under seal and cite to this Protective Order.

19       7. **Party's Own Information.** The restrictions on the use of confidential  
20 information established by this Protective Order are applicable only to the use by a  
21 Party of confidential information received from other Parties to this Stipulation. A  
22 Party is free to do whatever it desires with its own documents.

23       8. **Disclosure of Author or Addressee.** Nothing herein shall prohibit a  
24 Party, or its counsel, from disclosing a document which is confidential information  
25 to the person who is, from the face of the document, the author, a copy recipient or  
26 addressee of such document.

27       9.     **Return of Information.** Within 90 (ninety) days of the conclusion of  
28 this case, or any related cases, whether by settlement or judgment and after all

1 appeals have been exhausted, all Parties shall either return all confidential  
 2 documents under this agreement to the Party producing such documents or take  
 3 reasonable steps to destroy all such documents and provide the producing Party  
 4 with a declaration stating that such steps have been taken.

5       10. **Effective Date.** Upon the signing of this Stipulation and Protective  
 6 Order by the Court, this Protective Order shall be effective against each Party as of  
 7 the date of the signature of that Party, its signator, or their respective  
 8 representatives. The Parties agree to abide by the terms of this Protective Order  
 9 prior to its approval by the Court and whether or not it is ultimately approved by the  
 10 Court.

11      11. **Modifications.** Nothing herein shall prevent any Party from seeking  
 12 entry of an order, upon an appropriate showing, either modifying the terms of this  
 13 Protective Order in any respect, or vacating this Protective Order entirely.

14      12. **Survival.** The terms of this Protective Order shall survive and remain  
 15 in full force and effect after the termination of the above-entitled action, or any  
 16 related actions.

17      13. **Counterparts.** This Protective Order may be executed by the Parties  
 18 hereto on separate copies, each of which shall be submitted with the original to the  
 19 Court for its approval.

20      14. **Inadvertent Production Of Privileged Or Otherwise Protected**  
 21 **Material.** When a Party gives notice to any other Party that certain inadvertently  
 22 produced material is subject to a claim of privilege or other protection, the  
 23 obligations of the other Party are those set forth in Federal Rule of Civil Procedure  
 24 26(b)(5)(B). This provision is not intended to modify whatever procedure may be  
 25 established in an e-discovery order that provides for production without prior  
 26 privilege review. Pursuant to Federal Rule of Evidence 502(d) and (e), insofar as  
 27 the parties reach an agreement on the effect of disclosure of a communication or  
 28 information covered by the attorney-client privilege or work product protection, the

1 parties may incorporate their agreement in the stipulated protective order submitted  
2 to the court.

3 **IT IS SO ORDERED.**

4 Dated: 9/27/13

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7 HONORABLE CHARLES F. EICK  
8 UNITED STATES MAGISTRATE JUDGE  
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## **EXHIBIT A**

## **EXHIBIT A**

**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

**ROBERT P. MOSIER, Receiver for  
PRIVATE EQUITY  
MANAGEMENT GROUP, LLC and  
PRIVATE EQUITY  
MANAGEMENT GROUP, INC.,**

Case No. 8:12-cv-02053-PSG-E

**Plaintiff,**

v.

ERWIN & JOHNSON, LLP;  
CHRISTOPHER RONALD  
ERWIN; RICHARD BURTON  
JOHNSON; and DOES 1-5,  
inclusive.

#### **Defendants.**

## DECLARATION AND UNDERTAKING

I hereby acknowledge that I will be receiving Confidential Information pursuant to the terms of the Stipulated Protective Order entered in the above-referenced Action. I have been given a copy of and have read and understand the Stipulated Protective Order, and I agree to be bound by the terms and conditions of the Stipulated Protective Order with respect to the Confidential Information I will be receiving. I agree that I will not make copies of any Confidential Information, and I will not disseminate or publicize any document, transcript or information, or any information derived from or contained in such Confidential Information.

I further understand that the Court has ordered that the documents marked "Confidential" must be kept confidential. Violation of the Court's Order can be punished by contempt of court, which can include monetary fines or even jail. If

1 you have any questions regarding the Court's Order or this notice, you are advised  
2 to consult with legal counsel.

3 Executed this \_\_\_\_ day of \_\_\_\_\_, 2012.

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Signature

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Printed Name

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On Behalf of Firm Name if Applicable

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